TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) LIN et. al In Re Application Of: Application No. Filing Date Examiner Customer No. **Group Art Unit** Confirmation No. 00137 Title: Aqueous Dispersions of Silicone Polyether Block Copolymers Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. X The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))						cket No. 103PCT1	
In Re Application	26 SEP2	2006					
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	STATEMENT BY AP		First Named Inventor	LIN		
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Sheet	1	of 2	Attorney Docket Number	DC10103 PCT1		

			U.S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,364,633	11-15-1994	Hill, Randal M., et. al.	
		US- 5,411,744	05-02-1995	Hill, Randal M., et. al.	
		US- 5,948,855	09-07-1999	Lin, Zuchn, et. al.	
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		US- 4,150,048	04-17-1979	Schilling, Curtis L. Jr., et. al.	
		US- 5,472,686	12-05-1995	Tsubaki, Suguru, et. al.	And the second distriction of the second sec
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	- -6	
Initials*	No.¹	Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	'	
·		WO 2003/101412 A2	12/11/2003	Dow Corning Corp.			
		WO 2005/103157 A1	11/03/2005	Dow Corning Corp.			
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•	ļ	WO 2005/102248 A2	11/03/2005	Dow Corning Corp.			

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Signature	Considered	i

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Application Number				
	INFORMATION DISCLOSURE	Filing Date				
	STATEMENT BY APPLICANT	First Named Inventor	LIN			
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	(Use as many sheets as necessary)	Examiner Name				
S	heet 2 of 2	Attorney Docket Number	DC10103 PCT1			

Examiner	Cite	Document Number	U. S. PATENT I Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	Cite No. ¹	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
		WO 2004/050045 A1	06/17/2004	Dow Corning Corp.				

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Signature		Considered	

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